



SHEET 1 OF 1

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
220104US0CONTSERIAL NO.
10/086,781

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Takaaki SEKIYAMA, et al.

FILING DATE

March 4, 2002

GROUP

1624

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
BC	AA	6,127,361	10/03/00	TANAKA, et al.	514	211.11	
BC	AB	6,436,922	08/20/02	TANAKA, et al.	514	211.11	
BC	AC	6,528,504	03/04/03	SAKATA, et al.	514	211.11	
BC	AD	6,562,808	05/13/03	SAKATA, et al.	514	211.11	
	AE						
	AF						
	AG						
	AH						
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	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attachedExaminer *Brenda Coleman*Date Considered *Sept. 30, 2004*

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



LIST OF RELATED CASES

	<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
BC	246080US-0-CONT	10/724,179	12/01/03	SAKATA et al.
BC	220104US0 CONT*	10/086,781	03/04/02	SEKIYAMA, et al.

Brenda Coleman

Sept. 30, 2004

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SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE MAR 03 2003		ATTY DOCKET NO. 220104US0CONT		SERIAL NO. 10/086,781	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Takaaki SEKIYAMA, et al.		FILING DATE March 4, 2002	
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		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
BC	AW	H. L. YALE, et al., Journal of Medicinal Chemistry, vol. 15, no. 4, XP-002150479, pages 713-722, "NOVEL POLYCYCLIC HETEROCYCLES. DERIVATIVES OF 5,11-DIHYDRODIBENZ[b,e][1,4]OXAZEPINE AND 5,11-DIHYDRODIBENZO [b,e][1,4]THIAZEPINE", 1970					
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner <u>Brenda Coleman</u>				Date Considered <u>Sept. 30, 2004</u>			
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 220104US0CONT		SERIAL NO. 10/086,781 New Application	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Takaaki SEKIYAMA, et al.			
				FILING DATE Herewith		GROUP 1624	
U.S. PATENT DOCUMENTS							
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	AA						
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	BC	AO EP 0404359 A1	✓ 12/27/90	Europe			
**	BC	AP WO97/33885 A1	✓ 09/18/97	Japan w/Abstract			X
**	BC	AQ WO99/12925 A1	✓ 03/18/99	Japan w/Abstract			X
**	BC	AR WO00/40570 A1	— 07/13/00	Japan w/Abstract			X
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10/086781
03/04/02

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